

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				ATTORNEY'S DOCKET NO. BE02 0042 US U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/539250</div>																																									
INTERNATIONAL APPLICATION NO. PCT/IB03/005502		INTERNATIONAL FILING DATE 27 November 2003		PRIORITY DATE CLAIMED 19 December 2002																																									
TITLE OF INVENTION VERTICAL SPLIT GATE NON-VOLATILE MEMORY CELL AND METHOD OF FABRICATION THEREOF																																													
APPLICANT(S) FOR DO/EO/US Robertus VAN SCHAIJK Michiel J. VAN DUUREN																																													
Applicant(s) herewith submit to the United States Designated/Elected Office (DO/EO/US) the following: <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <div style="margin-left: 20px;"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been transmitted by the International Bureau. </div> 3. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <div style="margin-left: 20px;"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> have not been made and will not be made. </div> 4. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 																																													
The following document(s) or information are included: <ol style="list-style-type: none"> 5. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 6. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included 7. <input checked="" type="checkbox"/> A preliminary amendment 8. <input checked="" type="checkbox"/> Power of Attorney to Prosecute Application before the USPTO 9. <input checked="" type="checkbox"/> Statement under 37 CFR §3.73(b) 10. <input checked="" type="checkbox"/> Authorization Pursuant to 37 CFR § 1.136(a)(3) and to Charge Deposit Account <u>14-1270</u> 11. <input checked="" type="checkbox"/> Receipt Confirmation Postcard 																																													
The following fees have been submitted: <table border="0" style="width: 100%;"> <tr> <td>12. <input checked="" type="checkbox"/> Basic national fee</td> <td style="text-align: right;">\$ 300</td> </tr> <tr> <td>13. <input checked="" type="checkbox"/> Examination fee (Int'l prelim. exam. report NOT prepared by USPTO).....</td> <td style="text-align: right;">\$ 200</td> </tr> <tr> <td>14. <input checked="" type="checkbox"/> Search Fee (Int'l Search Report prepared and provided to the Office.)</td> <td style="text-align: right;">\$ 400</td> </tr> <tr> <td colspan="2" style="text-align: right;">TOTAL OF 13, 14 and 15 =</td> </tr> <tr> <td colspan="2" style="text-align: right;">\$ 900</td> </tr> </table> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;"># FILED</th> <th style="width: 20%;"># EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>18 - 20 =</td> <td>0</td> <td>X \$50</td> <td style="text-align: right;">\$ 0</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>X \$200</td> <td style="text-align: right;">\$ 0</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL FEES FOR CLAIMS =</td> <td style="text-align: right;">\$ 0</td> </tr> </tbody> </table> <div style="margin-top: 5px;"> Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)) accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property + <div style="float: right; text-align: right;">\$ 40</div> </div> <div style="clear: both; text-align: right; margin-top: 5px;"> TOTAL FEES ENCLOSED = \$ 940 </div>				12. <input checked="" type="checkbox"/> Basic national fee	\$ 300	13. <input checked="" type="checkbox"/> Examination fee (Int'l prelim. exam. report NOT prepared by USPTO).....	\$ 200	14. <input checked="" type="checkbox"/> Search Fee (Int'l Search Report prepared and provided to the Office.)	\$ 400	TOTAL OF 13, 14 and 15 =		\$ 900		CLAIMS	# FILED	# EXTRA	RATE		Total claims	18 - 20 =	0	X \$50	\$ 0	Independent claims	1 - 3 =	0	X \$200	\$ 0	TOTAL FEES FOR CLAIMS =				\$ 0	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">CALCULATIONS</th> <th style="width: 50%;">PTO USE ONLY</th> </tr> </thead> <tbody> <tr> <td style="height: 100px; vertical-align: bottom;">\$ 900</td> <td></td> </tr> <tr> <td style="height: 100px; vertical-align: bottom;">\$ 0</td> <td></td> </tr> <tr> <td style="height: 100px; vertical-align: bottom;">\$ 40</td> <td></td> </tr> <tr> <td style="height: 100px; vertical-align: bottom;">\$ 940</td> <td></td> </tr> </tbody> </table>		CALCULATIONS	PTO USE ONLY	\$ 900		\$ 0		\$ 40		\$ 940	
12. <input checked="" type="checkbox"/> Basic national fee	\$ 300																																												
13. <input checked="" type="checkbox"/> Examination fee (Int'l prelim. exam. report NOT prepared by USPTO).....	\$ 200																																												
14. <input checked="" type="checkbox"/> Search Fee (Int'l Search Report prepared and provided to the Office.)	\$ 400																																												
TOTAL OF 13, 14 and 15 =																																													
\$ 900																																													
CLAIMS	# FILED	# EXTRA	RATE																																										
Total claims	18 - 20 =	0	X \$50	\$ 0																																									
Independent claims	1 - 3 =	0	X \$200	\$ 0																																									
TOTAL FEES FOR CLAIMS =				\$ 0																																									
CALCULATIONS	PTO USE ONLY																																												
\$ 900																																													
\$ 0																																													
\$ 40																																													
\$ 940																																													
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the above fees, as well as any additional fee which may be required, with the exception of the Base Issue Fee, or credit any overpayment to our Deposit Account No. 14-1270 .																																													
SEND ALL CORRESPONDENCE TO: PHILIPS ELECTRONICS NORTH AMERICA CORPORATION Intellectual Property & Standards 1109 McKay Drive, M/S41-SJ San Jose, California 95131			<div style="border: 1px solid black; padding: 5px; display: inline-block;"> USPTO CUSTOMER NO. <div style="font-size: 1.5em; font-weight: bold;">24738</div> </div> <div style="margin-top: 20px;"> Peter Zawilski, Reg. No. 43,305 Tel.: (408) 474-9063 </div>																																										
CERTIFICATE OF EXPRESS MAILING																																													
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 is addressed to "Mail Stop PCT/Commissioner for Patents, PO Box 1450, Arlington, VA 22313," on the date indicated below.																																													
(Date) <u>10/15/03</u>		(Signature) (Name) Daniel L. Michalek																																											